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United Nations Development Programme

PROJECT DOCUMENT

India

Project Title: Technical Support to Water Resources Department to implement the Odisha Integrated Irrigation Project for Climate Resilient Agriculture (OIIPCRA)
Project & Output Number: 00140619, 00129704
Implementing Partner: UNDP
Start Date: 16-Dec-2021 **End Date:** 31-Dec-2025 **PAC Meeting date:** 22-Nov-2021

Brief Description

UNDP partners globally with all levels of society in more than 170 countries and territories where it operates. UNDP's approach in India has been to develop and sustain strategic partnerships that find innovative solutions to address gaps on both the demand and supply side of development processes. The Country Programme Document (2018-2022) of United Nations Development Program's (UNDP) approved by the Government of India notes as one of its foremost programme priorities and outcomes, the need for "Institutional and systems strengthening for service delivery" as the single most innovation to achieve last mile developmental impact.

The Government of Odisha (GoO) is focused on doubling farmers income and farmers' well-being by addressing the constraints, optimal usage of available natural resources and using technology to ensure a growth that is environmentally, economically, and technologically inclusive, scalable, and sustainable. The GoO, to enhance and diversify agricultural production, enhance climate resilience, and improve water productivity in selected cascades of Odisha in partnership with the Government of India for implementing the World Bank assisted project "Odisha Integrated Irrigation Project for Climate Resilient Agriculture (OIIPCRA)".

To ensure effective implementation of the OIIPCRA, GoO has felt the need for strengthening the SPMU OIIPCRA by engaging domain experts/ Human Resources and creating sub-PMUs under the implementing departments' i.e Agriculture, Horticulture and Fisheries directorates. In that context, GoO has proposed for engagement of an organization for placement of thematic experts and support staff in the project. In this connection, UNDP was selected to set up a Technical Support Unit for the implementation of OIIPCRA to improve institutional and programme responsiveness.

In providing technical support to the State government of Odisha, UNDP will employ 3 strategies listed below.

1. Setting up of Project Management Unit by recruiting technically sound sectoral experts in the Department of Water Resources in order to implement OIIPCRA effectively
2. Documentation of good practices which can be utilized in strengthening capacity of workers in agriculture and allied sectors to adapt to climate change stresses affecting crop and aquaculture production, and diversifying production.
3. Capacity Building to bridge gap exists in production of agriculture and allied sectors, and provide specialised knowledge on climate-smart intensification and diversification of production

The project beneficiaries include small and marginal farmers, Pani Panchayats, farmer producer organizations and other agro-entrepreneurs. The objective of the current project is to provide technical support the government in its effort to improve economic resilience of farm households to climate risks and natural disasters through intensification and diversification of agricultural production, enhanced climate resilience, and improved water productivity in selected districts of Odisha. The project also focuses on role of women in water governance in Odisha and identify areas to enhance their involvement for better management.

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Contributing Outcome (UNSDCF, CPD, RPD):
UNSDF Outcome 1: By 2022, institutions are strengthened to progressively deliver universal access to basic services, employment, and sustainable livelihoods to the poor and excluded, in rural and urban areas.
Indicative Output(s) with gender marker[1]:
Output 2.2: Poor and vulnerable have the capacity, options and opportunities to move out of deprivation.
Baseline: 0, Target: 3
Gender marker: GEN 2

Total resources required:	**USD 1,325,359**	
Total resources allocated:	USD 1,325,359	
	UNDP TRAC:	n/a
	Donor:	n/a
	Government:	USD 1,325,359
	In-Kind:	n/a
Unfunded:	Nill	

Agreed by (signatures)[2]:

Government	UNDP	Implementing Partner
Print Name:	DocuSigned by: Dennis Curry 39E062A34AB341F... Print Name:Mr. Dennis Curry (Deputy Resident Representative)	Print Name:
Date:	Date:	Date:

[1] The Gender Marker measures how much a project invests in gender equality and women's empowerment. Select one for each output: GEN3 (Gender equality as a principle objective); GEN2 (Gender equality as a significant objective); GEN1 (Limited contribution to gender equality); GEN0 (No contribution to gender quality)

[2] Note: Adjust signatures as needed

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I. DEVELOPMENT CHALLENGE (1/4 PAGE – 2 PAGES RECOMMENDED)

Indian producers face several challenges to secure their household's income, food provision while making an important economic contribution for the country. And one reason for the rising farm distress is crop failures and yield losses triggered by the vagaries of climate. The livelihood of a large proportion of India's population—as the largest private enterprise with ~138 million farm families with an 18% contribution to national GDP—is at risk. Any shock on agricultural production already has social and financial repercussions for both the population and the country as a whole; it impacts rural income levels, Gross Domestic Product (GDP), and, in the worst years, poverty rates. Such phenomenon negatively impacts people who work in agricultural and allied sectors, and cascadingly hits the most vulnerable including women and children.

The Government of Odisha (GoO) is focused on doubling farmers income and farmers' well-being by addressing the constraints, optimal usage of available natural resources and using technology to ensure a growth that is environmentally, economically, and technologically inclusive, scalable, and sustainable. Thus GoO, to enhance and diversify agricultural production, enhance climate resilience, and improve water productivity in partnership with the Government of India is implementing the World Bank assisted project "Odisha Integrated Irrigation Project for Climate Resilient Agriculture (OIIPCRA)".

The project OIIPCRA is multi sectoral in nature and will be jointly implemented by three line-departments of Govt of Odisha (GoO) -Department of Water Resources, Department of Agriculture and Farmers Empowerment, and Department of Fishery and Animal Resource Development, with clearly defined role and responsibilities. The objective of the OIIPCRA project is to provide technical support the government in its effort to improve economic resilience of farm households to climate risks and natural disasters through intensification and diversification of agricultural production, enhanced climate resilience, and improved water productivity in selected districts of Odisha.

To ensure effective implementation of the OIIPCRA, GoO has felt the need for strengthening the SPMU OIIPCRA by engaging domain experts/ Human Resources and creating sub-PMUs under the implementing departments' i.e Agriculture, Horticulture and Fisheries directorates. In that context, GoO has proposed for engagement of an organization for placement of thematic Experts and support staff in the project. In this connection, UNDP was selected to set up a Technical Support Unit for the implementation of OIIPCRA to improve institutional and programme responsiveness

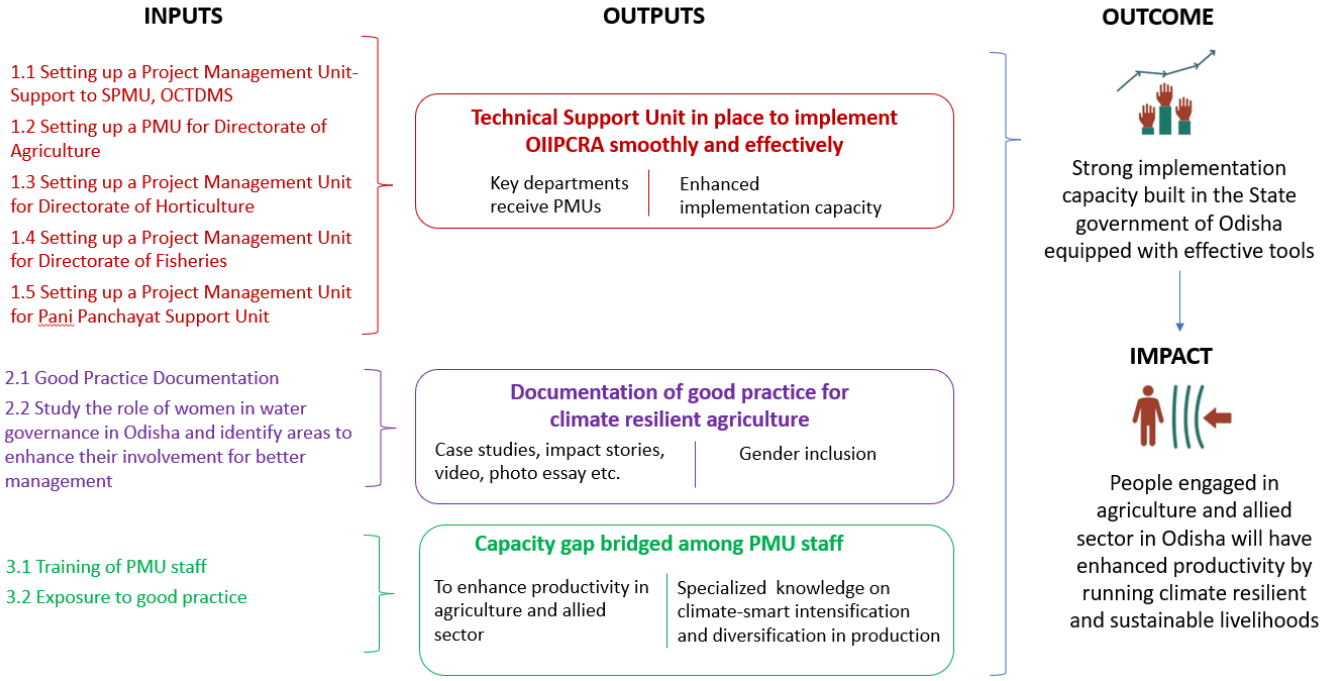
II. STRATEGY (1/2 PAGE - 3 PAGES RECOMMENDED)

The situation:

The state government of Odisha's bodies that implement OIIPCRA (such as Directorates of Agriculture, Horticulture, Fishries, and Pani Panchayat Support Unit) need enhanced capacity (both qualitative and quantitative) to effectively implement OIIPCRA. The state also needs to compile good practice documentation to increase capacity of workers in agriculture and allied sectors to adopt to climate change stresses affecting crop and aquaculture production, and diversifying production.

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Overall theory of change:



If the state government of Odisha's bodies that implement OIIPCRA were equipped with Project Management Unit (PMU), and if adequate trainings were given to the PMU staff, and if there is good practice documentation to increase capacity of workers in agriculture and allied sectors to adopt to climate change stresses affecting crop and aquaculture production and diversifying production, then, OIIPCRA can be implemented smoothly and effectively.

Thus, if UNDP supports setting up and operate PMUs and provide necessary trainings, and if UNDP supports in documentation of good practices including documentation that highlights the positive role that women play in natural resource management and agriculture and allied sector, then, UNDP will have supported Odisha state government in implementing OIIPCRA smoothly and effectively. Therefore, UNDP's approach in this project is detailed below.

Project Strategies	Components
Strategy 1 – Setting up of Project Management Unit UNDP will recruit technically sound sectoral experts in the Department of Water Resources	1.1 Setting up a Project Management Unit-Support to SPMU, OCTDMS
	1.2 Setting up a PMU for Directorate of Agriculture
	1.3 Setting up a Project Management Unit for Directorate of Horticulture

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to set-up a Technical Support Unit for the implementation of OIIPCRA.	1.4 Setting up a Project Management Unit for Directorate of Fisheries
	1.5 Setting up a Project Management Unit for Pani Panchayat Support Unit
Strategy 2 – Documentation UNDP will provide support in documentation, which can be utilized in strengthening capacity of workers in agriculture and allied sectors to adapt to climate change stresses affecting crop and aquaculture production, and diversifying production.	2.1 Good Practice Documentation (case studies, impact stories, video, photo, essay etc. [3]
	2.2 Study the role of women in water governance in Odisha and identify areas to enhance their involvement for better management
Strategy 3 – Capacity Building UNDP will bridge the capacity gap exists in production of agriculture and allied sectors, and provide specialised knowledge on climate-smart intensification and diversification of production[4]	3.1 Training of PMU staff
	3.2 Exposure to good practice

Strategy 1 – Setting up of Project Management Unit



UNDP will initiate the study through an Inception meeting and context setting with the DoWR team and other key stakeholders. The meeting shall aim to finalize the overall methodology, stakeholder and study framework, documents to be used for reference, implementation schedule, team deployment, etc. for the engagement. Preceding the meeting, DoWR and other stakeholders shall provide the relevant project documents and studies for review and analysis.

The key activities under this component to include the following:

- **Hiring of Experts**: Technical support to the SPMU of the Department of Water Resources and the PMU formed by department of Agriculture and Farmers Empowerment & Fishery and Animal Resource Development formed at various levels for the successful implementation of the project. This would include development of RFPs, advertisement for desired positions, screening, and final selection of the candidates. The shortlisted candidate would be on boarded across SPMU and Sub-PMUs within the 3 months of the kick start.
- **Monitoring & Evaluation**: The PMU would support the monitoring and evaluation system established to track the progress of the above interventions in terms of approved results, outcomes and targets including gender related targets by monitoring gender disaggregated data. It will also help to identify lessons and good practices with potential for policy advocacy and

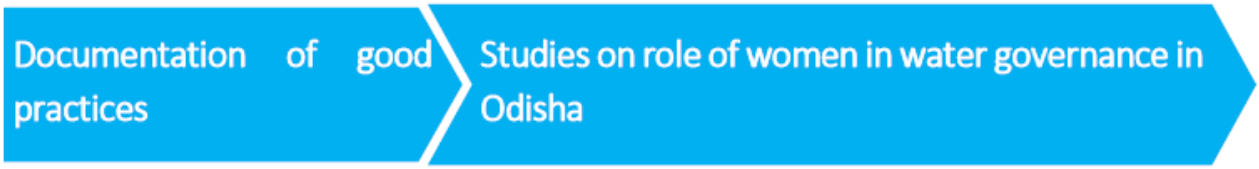
[3] The documentation includes gender aspects. Gender related challenges will be captured and good practices of gender integration enhancing women's participation will be included in the study)
[4] Training will include key concept and tools related to gender. Gender aspect will be included in exposure experience if such good practice is available.

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replication/scaling up in other states. The monitoring tools used will promote learning (including identification of factors that impede the achievement of outputs). Such learning will be used to adapt strategies accordingly and avoid repeating mistakes from the past.

At the state level, UNDP along with the Department of Water Resources, Government of Odisha will have the overall responsibility of monitoring the project, in line with the roles and responsibilities described above and through regular monitoring visits and annual review meetings by the PSC. The Project Manager, UNDP will be responsible for day-to-day monitoring of project activities. He/she will also prepare and submit periodic progress reports to the PSC. Monitoring will be an on-going process and mid-course corrections will be made if required. An annual project review will be conducted to assess the performance of the project and the extent to which progress is being made towards outputs and ensure that these remain aligned to relevant outcomes. UNDP will commission an end-term project review with involvement of all key project stakeholders.

Strategy 2 – Documentation



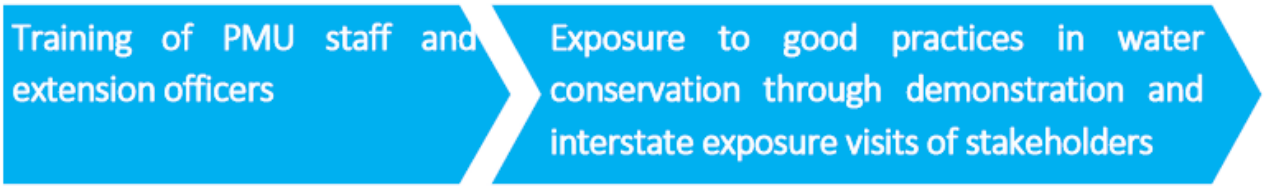
The main objective under this component is to support departments in documentation of the good practices on intensifying and diversifying agricultural and allied sectoral production, enhancing climate resilience, augmenting aquaculture promotion and improvising irrigation and water productivity. This exercise would result into compendium of good practices yielding towards strengthening farmers'/workers in allied sectors' capacity to adapt to climate change stresses affecting crop and aquaculture production, and diversifying production. Further, documentation can also lead towards developing relevant IEC material, modules and protocols for farmers on Climate-Smart Agriculture (CSA) technologies and practices to increase productivity, improve resilience to climate shocks and reduce Green House Gas (GHG) emissions, as a co-benefit. Additionally, climate resilient aquaculture in the rehabilitated tanks as well as other tanks in the cascade, irrigation and Water Productivity will be captured and disseminated through varied forms of IEC.

The key activities under the component-documentation would result into long termer benefits of increasing smallholder farmer household income and women's empowerment in agriculture. Such documentation would be done by hired agencies which would be responsible for the following:

- Documentation of good practices in the domain of climate resilient agricultural production, aquaculture promotion and irrigation and water productivity in the form of case studies, impact stories, visual recordings and photo essays. The compendium of good practices would highlight the areas of system strengthening and capacity building, implementing innovation.
- Undertaking studies to map the role of women in water governance and management in Odisha and further leveraging their pivotal role in designing the programs and schemes.

Strategy 3 – Capacity Building

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It has been learnt that capacity gaps exist in agricultural production and climate resilience, and there is lack of specialised knowledge on climate-smart intensification and diversification of production. Building knowledge resource as well as augmenting existing capacities of PMU staff and extension officers would yield into increasing agricultural productivity (land and labour), aquaculture, irrigation and water productivity.

It is through periodic trainings, exposure visits and field demonstration, range of stakeholders would be capacitated on the Climate-Smart Agriculture (CSA) technologies, Aquaculture Production and other diversified means of agriculture production. These will be done through creating a cadre of state level master trainers in each of the specialized areas who would in turn train stakeholders including women across the state and through learning trips to deepen understanding. Wherever feasible, efforts will be made to converge with the existing capacity building programmes conducted and to utilize existing knowledge and training resources available with other stakeholders (specialized institutions). Gender sensitization and integration will also be explored to the extent possible.

The key activities of this phase to include the following:

- Capacity building trainings and workshops to be organised on Climate-Smart Intensification and Diversification of Production for PMU team and extension officers.
- Through demonstration and interstate exposure visits, government stakeholders to be capacitated on Improved Productivity and Climate Resilience, Aquaculture Production, Diversification and Produce Marketing, Irrigation and Water Productivity, etc.

Link to the project ToC and CPD's ToC:

As this project will enhance climate resilience and adaptation of agricultural and allied sectors, this project will contribute to CPD output 2.2: "Poor and vulnerable have the capacity, options and opportunities to move out of deprivation." The contribution can be mesurable through Indicator 2.2.1: "Number of replicable models demonstrating integrated/sectoral development solutions for women, poor, vulnerable groups, particularly in tribal, mining and Left-Wing Extremism areas." Replicable models that project will prepare are 1) PMU, 2) Good practice documentation, and 3) Training to PMU.

III. RESULTS AND PARTNERSHIPS (1.5 - 5 PAGES RECOMMENDED)

Expected Results:

The expected result of this project is that Odisha state government is well supported to implement OIIPCRA smoothly and efficiently with enhanced capacity in relevant departments through setting-up of PMU, good practice documentation and training to PMU staff. As mentioned in the ToC, the

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planned intervention is based on key needs felt by the State government, and therefore, best suited to achieve the planned result. The expected result is linked to higher level results in CPD2018-22 (Output 2.2, Indicator 2.2.1).

Resources Required to Achieve the Expected Results

USD 1,325,359 is required to achieve this results which includes human resource, goods, services, and coordination costs to implement the planned activities. In addition, strong partnership with the State government of Odisha and its support is critical for the success of this project. Project implementation will be strongly supported by UNDP Country office staff.

Partnerships

In partnership with Department of Water Resources, Government of Odisha, The Project will work towards achieving the following results:

1. Strengthened SPMU at the Department of Water Resources and the PMU at department of Agriculture and Farmers Empowerment & Fishery and Animal Resource Development
2. Documentation of good practices in the domain of climate resilient agricultural production, aquaculture promotion and irrigation and water productivity in the form of case studies, impact stories, visual recordings and photo essays.
3. Showcasing women's leadership in water management and agricultural production.
4. The compendium of good practices that highlights the areas of system strengthening and capacity building, implementing innovation.
5. Guidance note on agricultural production and climate resilience at the local level of governance
6. Collaterals and prototypes for deeper and intensive IEC that enhances capacity to adapt to climate change stresses affecting crop and aquaculture production, and diversifying production.
7. Studies to map the role of women in water governance and management in Odisha and further leveraging their pivotal role in designing the programs and schemes.
8. Capacity building workshops, trainings and exposure visits for wide range of government stakeholders, PMU Experts, and extension officers.

Risks and Assumptions

Over-utilization of local natural resources due to intensification of farm activities is an identified risk (Impact:3, Probability 1). This can be due to an excessive focus on crop diversification as well as other on farm activities which may lead to overuse of water. Optimal use and water monitoring and saving technologies would reduce water demand and also support enhancing water levels. Most rural communities have mechanisms to ensure sustainable use of local resources. These aspects will be incorporated in the communication plan and will also be discussed by implementing agencies with local Panchayats and Community Based Organizations.

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Primary Categories: 1. Social and Environmental **Secondary Categories: 1.6. Biodiversity Conservation and Sustainable Natural Resource Management**			
Risk Description	***Impact and Probability (1-5)***	***Significance (Low, Moderate, High)***	***Comments***
Risk 1: Over-utilization of local natural resources due to intensification of farm activities	I = 3 P = 2	**Moderate**	Excessive focus on crop diversification as well as other on farm activities may lead to overuse of water

Another identified risk is an inability to attract quality human resources for state and district level positions. This is due to reluctance of qualified candidates to be located in remote areas of the state. To address this risk, the project will tap into human resource talent already available with State Government in various programmes. Further, UNDP will ensure wide outreach of job opportunities through its past and present partner organizations working across the state to attract best talent.

Primary Categories: 4. Organizational **Secondary Categories: 4.7 Human Resources**			
Risk Description	***Impact and Probability (1-5)***	***Significance (Low, Moderate, High)***	***Comments***
Risk 2: Inability to attract quality human resources for state and district level positions	I = 3 P = 3	**Moderate**	Reluctance of qualified candidates to be located in remote, poor areas of the state may be a constraint

Further, the project forsees delay of funds transfer from the State government as a risk. This could be triggered by delay in government procedure due to competing priorities or shortage of officials due to various reasons including resurgence of Covid-19. This is a moderate risk that can cause delay in project implementation. The project will regularly communicate and follow up with the State government to prevent this risk to be happened.

Primary Categories: 4. Organizational **Secondary Categories: 4.8. Budget availability and cashflow**			
Risk Description	***Impact and Probability (1-5)***	***Significance (Low, Moderate, High)***	***Comments***
Risk 3: Late release of funds from donor	I = 3 P = 3	**Moderate**	Due to delay in government procedure.

In addition, resurgence of Covid-19 is another moderate risk that project envisages. This may cause by emergence of new variant with strong communication ability, as well as premature relaxation of Covid

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appropriate behaviours. The resurgence of Covid-19 can result in the shortage of human resource in partner government offices, UNDP, as well as restrictions in place for movement and gathering, which can result in delay in implementation. While this risk is rather difficult to control at project level, UNDP will ensure Covid appropriate environment and behaviors in events in case if such synario becomes true. UNDP will also explore a possibility of carrying out some activities in virtual platform where possible. Furthermore, the project will consult to the donor well in advance for no cost extension if delay became inevitable.

Primary Categories: 3. Operational **Secondary Categories: 3.11 Occupational safety, health and well-being**			
Risk Description	***Impact and Probability (1-5)***	***Significance (Low, Moderate, High)***	***Comments***
Risk 4: Resurgence of Covid-19	I = 3 P = 3	**Moderate**	Emergence of new variant or premature relaxation of Covid appropriate behaviors.

The project assumes that State government remains cooperative to UNDP in project implementation. The project also assumes that political will of the state government remains supportive to successfully implement OIIPCRA. Further more, UNDP assumes that Covid situation in the state does not substantially deteriorated to the extent that it hinders project implementation. Finally the project assumes that UNDP Odisha State office remain functional throughout the project period.

Knowledge

UNDP will provide support in documentation, which can be utilized in strengthening capacity of workers in agriculture and allied sectors to adapt to climate change stresses affecting crop and aquaculture production, and diversifying production. This will be done via case studies, impact stories, video, photo, essay etc. In addition, Study of the role of women in water governance in Odisha and identification of areas to enhance their involvement for better management will be analyzed, which will be captured in a report.

Sustainability and Scaling Up

UNDP will support the Government of Odisha and directly implement the activities described in this project document for a period of 4 years by recruiting best possible technical experts, support project planning and implementation, data collection, documentation, capacity building, monitoring and evaluation. It is envisaged that as this project is based on the Water and Agriculture Policies of the Government of Odisha. After completion of 3 years, the project performance will be reviewed, and a sustainability plan will be put in place so that in the next one year it can be made self-sufficient. After 4 years PMUs at various levels may

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be retained or existing regular staff within the government system of Department of Water Resources and allied directorates trained sufficiently to sustain and directly manage the processes and achievements.

IV. PROJECT MANAGEMENT (1/2 PAGES - 2 PAGES RECOMMENDED)

Cost Efficiency and Effectiveness

The project will purchase goods and services in line with procurement policy in order to be cost efficient and effective. To ensure the same, optimal staff grade and procurement methodology will be selected based on HR and procurement policy, from direct contracting to multi-suppliers competition. UNDP will deploy its available programmatic and operational systems and structure to support delivery of results and reach economies of scale.The best practices and knowledge sharing from cross-cutting thematic areas, in-house expertise, initiatives at country, regional and global level will further enhance project delivery of results and bring cost efficiencies.

Funds Flow Arrangements and Financial Management

1. UNDP shall not start implementation of the activities prior to signing of the agreements by both the parties. The contribution will be paid to UNDP by the donor as per the agreement for implementation of the project.
2. UNDP will be responsible for all financial management and reporting for all funds received in line with UNDP rules and procedures and for recruitment services for all PMU staff in line with UNDP rules and procedures.

3. The project will be directly implemented by UNDP in close collaboration with the Government of Odisha. UNDP will implement the project under Direct Implementation modality and will be responsible for all financial management, reporting and recruitment services in line with UNDP rules and procedures.
4. UNDP may identify Responsible Parties to carry out activities within a DIM project. A Responsible Party is defined as an entity that has been selected to act on behalf of the UNDP on the basis of a written agreement or contract to purchase goods or provide services using the project budget. All Responsible Parties are directly accountable to UNDP in accordance with the terms of their agreement or contract with UNDP. The Responsible Party may follow its own procedures only to the extent that they do not contravene the principles of the Financial Regulations and Rules of UNDP. Where the financial governance of the responsible party, does not provide the required guidance to ensure best value for money, fairness, integrity, transparency, and effective international competition that of UNDP shall apply.
5. Project Audit: The donor funds shall be subject exclusively to the internal and external auditing procedures provided for in the financial regulations, rules, policies and procedures of UNDP. Should the annual Audit Report of the UN Board of Auditors to its governing body contain observations relevant to the donor funds, such information shall be made available to the donor by the country office.
6. Project closure: The project would be closed as per UNDP rules and regulations.

Project Management

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The project will be implemented in the state of Odisha, India, and will be directly implemented by UNDP in close collaboration with the Department of Water Resources, Government of Odisha. UNDP will be responsible for all financial management, reporting and recruitment services in line with UNDP rules and procedures. UNDP will sign a budgeted Annual Work Plan with the Department as per UNDP rules and regulations.

The project will be implemented as and when required in partnership with a range of stakeholders such as civil society organizations, NGOs, organizations of the poor and excluded groups, private sector, technical/ resource groups and financial institutions. UNDP will enter into contracts with individuals/agencies in line with its rules and procedures and keeping within the mandate of direct implementation of the above support. UNDP will consult Government partner about terms of reference of human resources/agencies to be provided.

Department of Economic Affairs (DEA), Ministry of Finance, Government of India has endorsed UNDP's Country Programme Document (2018-22) and has advised UNDP to focus on state level engagement "*to develop and transfer critical capacities in policy analysis, programme management, implementation and monitoring through some of the leading development and social protection missions of the Government*." More specifically UNDP is successfully engaging in the states in SDG localization at all levels; implementing ICT Based solutions; building electronic vaccine intelligence network across 18 states which has the potential to expand in areas beyond health; Monitoring & Supervision of national and state flagship schemes at state/district level; BCC/IEC activities; Capacity Building and HR Management; Documentation and dissemination of lessons learnt from process and product innovation; Monitoring and Coordination of multi-state projects. UNDP has demonstrated capacity in developing and implementing specific thematic area interventions in the country in areas related to Institutional and systems strengthening for service delivery; Inclusive growth; Energy, environment and resilience.

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V. RESULTS FRAMEWORK[5]

Intended Outcome as stated in the UNSDCF/Country [or Regional] Programme Results and Resource Framework: UNSDF Outcome 1: By 2022, institutions are strengthened to progressively deliver universal access to basic services, employment, and sustainable livelihoods to the poor and excluded, in rural and urban areas.
Outcome indicators as stated in the Country Programme [or Regional] Results and Resources Framework, including baseline and targets: 1.6. Number of documented, replicable models demonstrating integrated/sectoral development solutions for vulnerable groups. Baseline: 0; Target: 30
Applicable Output(s) from the UNDP Strategic Plan: 2.3 Responsive governance systems and local governance strengthened for socio economic opportunity, inclusive basic service delivery, community security, and peacebuilding
Project title and Atlas Project Number: Technical Support to Water Resources Department to implement the Odisha Integrated Irrigation Project for Climate Resilient Agriculture (OIIPCRA), **Project Number (Award ID)**: 00140619, **Project Number (Atlas Output ID):** 00129704

EXPECTED OUTPUTS	OUTPUT INDICATORS[6]	DATA SOURCE	BASELINE		TARGETS (by frequency of data collection)				DATA COLLECTION METHODS & RISKS
			Value	Year	Year 1	Year 2	Year 3	Year 4	
Output 2.2 *Poor and vulnerable have the capacity, options and opportunities to move out of deprivation.*	**Indicator 2.2.1** Number of replicable models demonstrating integrated/sectoral development solutions for women, poor, vulnerable groups, particularly in tribal, mining and Left-Wing Extremism areas.	*Department of Water Resources reports*	To be established	2019	*0*	2	1	0	*Secondary database assessment through Government records and reports of National and State Government and UNDP.* *Risk: Delay in updation of Government Records*

[5] UNDP publishes its project information (indicators, baselines, targets and results) to meet the International Aid Transparency Initiative (IATI) standards. Make sure that indicators are S.M.A.R.T. (Specific, Measurable, Attainable, Relevant and Time-bound), provide accurate baselines and targets underpinned by reliable evidence and data, and avoid acronyms so that external audience clearly understand the results of the project.

[6] It is recommended that projects use output indicators from the Strategic Plan IRRF, as relevant, in addition to project-specific results indicators. Indicators should be disaggregated by sex or for other targeted groups where relevant.

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VI. MONITORING AND EVALUATION

In accordance with UNDP's programming policies and procedures, the project will be monitored through the following monitoring and evaluation plans: *[Note: monitoring and evaluation plans should be adapted to project context, as needed]*

Monitoring Plan

Monitoring Activity	Purpose	Frequency	Expected Action	Partners (if joint)	Cost (if any)
Track results progress	Progress data against the results indicators in the RRF will be collected and analysed to assess the progress of the project in achieving the agreed outputs.	Quarterly	Slower than expected progress will be addressed by project management.	n/a	n/a
Monitor and Manage Risk	Identify specific risks that may threaten achievement of intended results. Identify and monitor risk management actions using a risk log. This includes monitoring measures and plans that may have been required as per UNDP's Social and Environmental Standards.	Quarterly	Risks are identified by project management and actions are taken to manage risk. The risk log is actively maintained to keep track of identified risks and actions taken.	n/a	n/a
Learn	Knowledge, good practices and lessons will be captured regularly, as well as actively.	At least annually	Relevant lessons are captured by the project team and used to inform management decisions.	n/a	n/a
Annual Project Quality Assurance	The quality of the project will be assessed against UNDP's quality standards to identify project strengths and weaknesses and to inform management decision making to improve the project.	Once in two years	Areas of strength and weakness will be reviewed by project management and used to inform decisions to improve project performance.	n/a	n/a
Project Report	A progress report will prepared capturing progress data showing the results achieved against pre-defined annual targets at the output level, an updated risk log with mitigation measures and learnings will be included in the report.	Annually, and at the end of the project (final report)	Timely and comprehensive progress status to be captured in the report, which allows all stakeholders to understand the status of implementation, challenges and lessons learnt.	n/a	n/a

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Monitoring Activity	Purpose	Frequency	Expected Action	Partners (if joint)	Cost (if any)
Project Review (Project Steering Committee)	The project's governance mechanism (i.e., project board) will hold regular project reviews to assess the performance of the project and review the Multi-Year Work Plan to ensure realistic budgeting over the life of the project. The PSC will also be a venue to discuss major challenges that need multi-stakeholder's approach to resolve. In the project's final year, the Project Board shall hold an end-of project review to capture lessons learned and discuss opportunities for scaling up.	Annually, and at the end of the project	Any quality concerns or slower than expected progress should be discussed by the project board, to be followed by agreed management actions to resolve the identified issues.	Department of Water Resource, Government of Odisha	n/a

Evaluation Plan[7]

The evaluation is not planned as this project does not fall under thresholds for UNDP mandatory project evaluations. However, the project will closely monitor progress of project through day-to-day activities, quarterly report and annual report.

[7] Optional, if needed

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VII. MULTI-YEAR WORK PLAN [89]

All anticipated programmatic and operational costs to support the project, including development effectiveness and implementation support arrangements, need to be identified, estimated and fully costed in the project budget under the relevant output(s). This includes activities that directly support the project, such as communication, human resources, procurement, finance, audit, policy advisory, quality assurance, reporting, management, etc. All services which are directly related to the project need to be disclosed transparently in the project document.

Expected Outputs: Output 1: Setting up of Project Management Units

Gender marker: GEN2: At least 15% of recruited staff will be female. At least one interview question will be gender related. At least 1 person of interview panel will be female.

Monitoring: Quartery Progress Reports (QPR) and Project Results Monitoring Pathways (QPRP) to be prepared every quarters. Annual Progress Reports (APR) to be prepared annualy. Project Quality Assurance focal will conduct monitoring visit at least once a year. Apart from this, regular monitoring will be conducted by the Project Manager.

Expected Output results: Technical Support Unit in place to implement OIIPCRA smoothly and effectively

PLANNED ACTIVITIES	Planned Budget by Year (USD)				RESPONSIBLE PARTY	PLANNED BUDGET		
	Y1 (Dec 16 2021-Dec 15 2022)	Y2 (Dec 16 2022-Dec 15 2023)	Y3 (Dec 16 2023-Dec 15 2024)	Y4 (Dec 16, 2024-Dec 31 2025)		Funding Source	Budget Description	Amount
1.1 Setting up a Project Management Unit-Support to SPMU, OCTDMS	22,646	22,646	22,646	28,302	UNDP	Govt. of Odisha	I. Institutional Strengthening & Capacity building (IS & CB) Expert ii. Environment Specialist	96,240

[8] Cost definitions and classifications for programme and development effectiveness costs to be charged to the project are defined in the Executive Board decision DP/2010/32

[9] Changes to a project budget affecting the scope (outputs), completion date, or total estimated project costs require a formal budget revision that must be signed by the project board. In other cases, the UNDP programme manager alone may sign the revision provided the other signatories have no objection. This procedure may be applied for example when the purpose of the revision is only to re-phase activities among years.

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1.2 Setting up a PMU for Directorate of Agriculture	49,822	49,822	49,812	0			i. Project Manager ii. Programme Coordinator iii. Climate Change Mobiliser iv. Agricultural Expert (Agribusiness) v. Multi Skilled Assitant vi. Office Attendant	149,456
1.3 Setting up a Project Management Unit for Directorate of Horticulture	73,759	73,759	73,753	0			i. Subject Specialist Consultant for production and Input Management ii. Subject Specialist Consultant for Post-harvest & Value Addition iii. MIS Assistant at State level iv. Multi Skilled Assistant at district level	221,271
1.4 Setting up a Project Management Unit for Directorate of Fisheries	108,372	108,372	108,368	0			i. Project Manager ii. District Level Programme Manager	325,112
1.5 Setting up a Project Management Unit for Pani Panchayat Support Unit	119,695	119,695	119,689	0			i. Institutional & Capacity Development Expert ii. MIS Manager & Programmer iii. District Level Programme Manager (PP)	359,079
Subtotal Output 1	374,294	374,294	374,268	28,302				1,151,158
Expected Outputs: Output 2: Documentation								
Gender marker: GEN 2: The documentation includes gender aspects. Gender related challenges will be captured and good practices of gender integration enhancing women's participation will be included in the study.								
Monitoring: Quartery Progress Reports (QPR) and Project Results Monitoring Pathways (QPRP) to be prepared every quarters. Annual Progress Reports (APR) to be prepared annualy. Project Quality Assurance focal will conduct monitoring visit at least once a year. Apart from this, regular monitoring will be conducted by the Project Manager.								

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Expected Output results: Documentation prepared which can be utilized in strengthening capacity of workers in agriculture and allied sectors to adapt to climate change stresses affecting crop and aquaculture production, and diversifying production.								
PLANNED ACTIVITIES	**Planned Budget by Year (USD)**				**RESPONSIBLE PARTY**	**PLANNED BUDGET**		
	Y1 (Dec 16 2021-Dec 15 2022)	Y2 (Dec 16 2022-Dec 15 2023)	Y3 (Dec 16 2023-Dec 15 2024)	Y4 (Dec 16 2024-Dec 31 2025)		Funding Source	Budget Description	Amount
2.1 Good Practice Documentation (case studies, impact stories, video, photo, essay etc.)	6,740	6,740	6,740	0	UNDP	Govt. of Odisha	Documentation cost	20,220
2.2 Study the role of women in water governance in Odisha and identify areas to enhance their involvement for better management	6,740	6,740	6,740	0			Study cost	20,220
Subtotal Output 2	13,480	13,480	13,480	0				40,440
Expected Outputs: Output 3: Capacity Building (Gender marker: GEN2)								
Gender marker: GEN 2: At least 15% of training participants will be women. Training will include key concept and tools related to gender. At least 10% of participants to exposure experience will be women. Gender aspect will be included in exposure experience where such good practice is available. (suggestion: gender integration will be one criterion in serching good practices)								
Monitoring: Quartery Progress Reports (QPR) and Project Results Monitoring Pathways (QPRP) to be prepared every quarters. Annual Progress Reports (APR) to be prepared annualy. Project Quality Assurance focal will conduct monitoring visit at least once a year. Apart from this, regular monitoring will be conducted by the Project Manager.								
Expected Output results: Capacity gap bridged to enhance productivity in agriculture and allied sectors, together with specialised knowledge on climate-smart intensification and diversification in production								
	Planned Budget by Year (USD)				**RESPONSIBLE PARTY**	**PLANNED BUDGET**		

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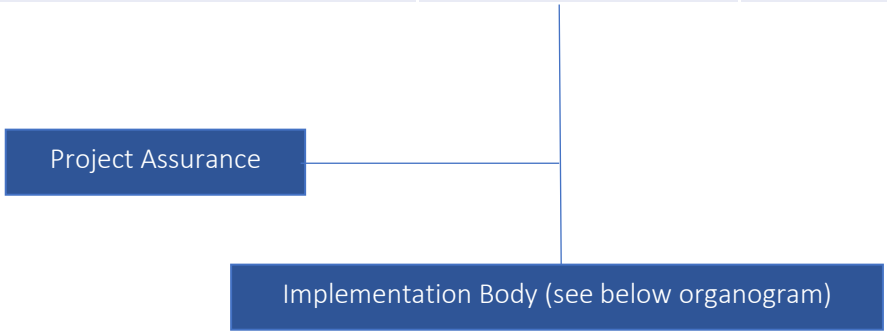
PLANNED ACTIVITIES	Y1 (Dec 16 2021- Dec 15 2022)	Y2 (Dec 16 2022- Dec 15 2023)	Y3 (Dec 16 2023- Dec 15 2024)	Y4 (Dec 16 2024- Dec 31 2025)		Funding Source	Budget Description	Amount
3.1 Training of PMU staff	1,348	1,348	0	0	UNDP	Govt. of Odisha	Training cost	2,696
3.2 Exposure to good practice	3,370	3,370	0	0			Training cost	6,740
Subtotal Output 2	4,718	4,718	0	0				9,436
Other Expenses								
Misc. Project Expenses	8,717	8,717	8,716	0				26,150
Subtotal (Output 1,2,3 + Misc. Project Expenses)	401,209	401,209	396,464	28,302				1,227,184
UNDP Management fee @8%	32,097	32,097	31,717	2,264				98,175
Grand total	433,306	433,306	428,181	30,566				1,325,359

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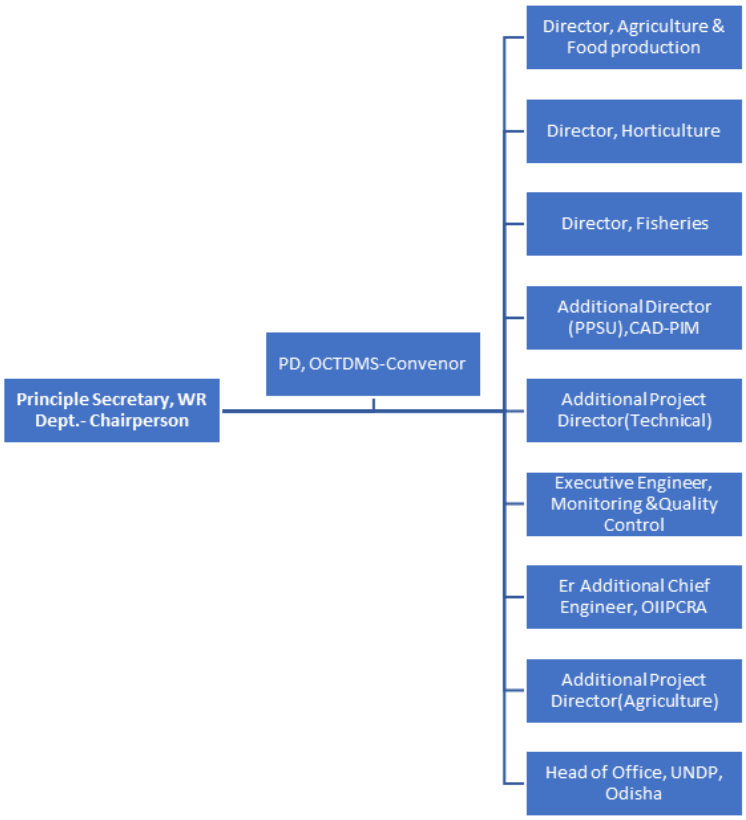
Project Steering Committee:

The Project Steering Committee (PSC) will be set up to review and monitor the implementation of the project. PSC will review the effectiveness and efficiency of the support towards the implementation of this project. It will also review the future planning of the project and instruct course correction if needed. Below is the organogram of PSC for this project.

Project Steering Committee		
Development Partner	Project Execution	Beneficiary Representative
Department of Water Resource, Government of Odisha (see below: Detail composition of Development Partner)	UNDP	Same to Development Partner (as beneficiary of this project is government)



Detail composition of Development Partner



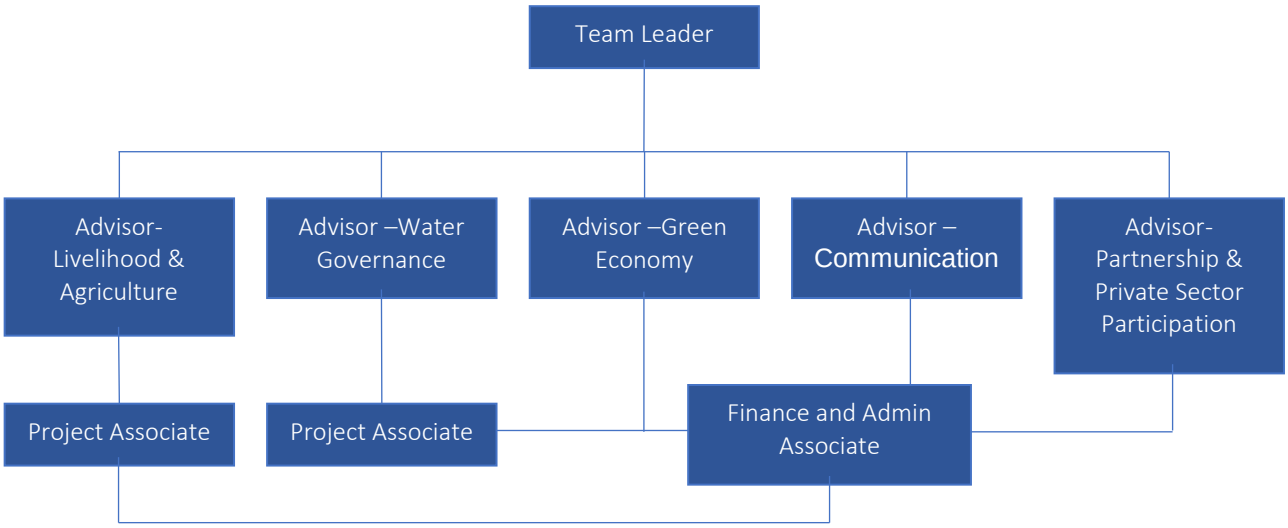
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Management Team:

UNDP Project Management Unit (PMU) comprising of 9 staff members (Team Leader, Advisor-Livelihood & Agriculture, Advisor-Water Governance, Advisor-Green Economy, Advisor-Partnership & Private Sector Participation, Advisor-Communication, 2 Project Associates, and Finance and Admin Associate) on the payroll of UNDP with different skill sets and different work areas that would advise, monitor and evaluate the project as and when required. Further, UNDP PMU, would provide guidance to the SPMU/ PMU recruited for the department and relevant directorates under the NPSA/NIM scale modality, as and when required. The NPSA/NIM scale modality has been specifically to a country office for hiring technical officials as per government pay scale requirement.

These team members recruited by UNDP as per their rules and regulations and on the rolls of UNDP under different projects will freely access technical information, knowledge and assistance from UNDP offices across the world, would support to provide technical inputs as well as perform quality assurance activities. The organogram and detail function of each position are listed below.

Implementation Body



Designation	No. of people	Key role &responsibilities
Team Leader	1	Team lead will provide advisory support to the technical support units –SPMU and Sub-PMUs and will be steering and managing overall study, strengthening study delivery through institution building, capacity building activities, strategic partnership, and technical review.
Advisor-Livelihood & Agriculture	1	Advisor-Livelihood & Agriculture will be responsible for technical assistance to Directorate of Agriculture in State level planning, implementation, capacity building, coordination of agriculture production and marketing activities, environmental sustainability of various Agricultural demonstration projects ,agribusiness promotion, monitoring and supervision of agribusiness promotion activities; and Directorate of Horticulture in ensuring smooth supply of key inputs, seed, seedling, quality planting material for demonstration activities under OIIPCRA, integrated post-harvest, and value-chain development in OIIPCRA project districts.

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Advisor –Water Governance	1	Advisor –Water Governance will provide technical support on the overall water governance and management and to the Directorate of Fisheries in preparation of the annual action plan and budget requirements for different activities under Aquaculture sub-component of the OIIPCRA; Pani Panchayat Support Unit, DOWR (PPSU) in preparation of project annual plans, budgets for institutional strengthening and training & capacity development plan of field staff.
Advisor – Green Economy	1	Advisor – Green Economy will be responsible for advisory on green economy with specific technical Support to SPMU, OCTDMS on environment safeguards and environmental sustainability during operation of various components of the project. The incumbent will also provide insights into overall project management and coordination with activities related to green jobs and livelihoods, green energy, policy advocacy, and SDG based green financing.
Advisor-Communication	1	Advisor-Communication will be responsible for Strategic Communication, Social media campaigns, Marketing, Advocacy, Resource mobilisation, PR and Research, and implementation of multi-media campaigns across the various components of the project.
Advisor-Partnership & Private Sector Participation	1	Advisor-Partnership & Private Sector Participation will anchor advisory to the SPMU and Sub-PMUs on the Institution Building, Training & Capacity Building, Corporate Social Responsibility, Private Sector Partnerships and Stakeholder Management, Resource Mobilization and Grant Management across wide range of activities under the project.
Project Associate	2	○ Project Associate (A) will be responsible for supporting the team with administration, finance, procurement and MIS planning and preparation of data formats for systematic collection of information from various stake holders of the project ○ Project Associate (B) will be responsible for supporting the team in administration, logistics, procurement, MIS planning and preparation of data formats for systematic collection of information from various stake holders of the project.
Finance and Admin Associate	1	Finance and Admin Associate will be responsible for administrative support, logistic arrangements, procurement, finance and maintenance of office documents and facilities in an orderly manner.

Standards of Performance:

UNDP will provide the above support with all due diligence, efficiency and economy in line with UNDP rules and procedures. UNDP will observe sound management practices in line with its programme policy and guidelines**.**

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IX. LEGAL CONTEXT

Option b. Where the country has NOT signed the Standard Basic Assistance Agreement (SBAA)

The project document shall be the instrument envisaged and defined in the Supplemental Provisions to the Project Document, attached hereto and forming an integral part hereof, as "the Project Document".

This project will be implemented by [UNDP] ("Implementing Partner") in accordance with its financial regulations, rules, practices and procedures.

X. RISK MANAGEMENT

Option b. UNDP (DIM)

1. UNDP as the Implementing Partner will comply with the policies, procedures and practices of the United Nations Security Management System (UNSMS.)

2. UNDP as the Implementing Partner will undertake all reasonable efforts to ensure that none of the [project funds][10] [UNDP funds received pursuant to the Project Document][11] are used to provide support to individuals or entities associated with terrorism , that the recipients of any amounts provided by UNDP hereunder do not appear on the United Nations Security Council Consolidated Sanctions List, and that no UNDP funds received pursuant to the Project Document are used for money laundering activities. The United Nations Security Council Consolidated Sanctions List can be accessed via https://www.un.org/securitycouncil/content/un-sc-consolidated-list. This provision must be included in all sub-contracts or sub-agreements entered into under this Project Document.

3. Social and environmental sustainability will be enhanced through application of the UNDP Social and Environmental Standards (http://www.undp.org/ses) and related Accountability Mechanism (http://www.undp.org/secu-srm).

4. UNDP as the Implementing Partner will: (a) conduct project and programme-related activities in a manner consistent with the UNDP Social and Environmental Standards, (b) implement any management or mitigation plan prepared for the project or programme to comply with such standards, and (c) engage in a constructive and timely manner to address any concerns and complaints raised through the Accountability Mechanism. UNDP will seek to ensure that communities and other project stakeholders are informed of and have access to the Accountability Mechanism.

[10] To be used where UNDP is the Implementing Partner

[11] To be used where the UN, a UN fund/programme or a specialized agency is the Implementing Partner

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5. In the implementation of the activities under this Project Document, UNDP as the Implementing Partner will handle any sexual exploitation and abuse ("SEA") and sexual harassment ("SH") allegations in accordance with its regulations, rules, policies and procedures.

6. All signatories to the Project Document shall cooperate in good faith with any exercise to evaluate any programme or project-related commitments or compliance with the UNDP Social and Environmental Standards. This includes providing access to project sites, relevant personnel, information, and documentation.

7. UNDP as the Implementing Partner will ensure that the following obligations are binding on each responsible party, subcontractor, and sub-recipient:

a. Consistent with the Article III of the SBAA *[or the Supplemental Provisions to the Project Document]*, the responsibility for the safety and security of each responsible party, subcontractor and sub-recipient and its personnel and property, and of UNDP's property in such responsible party's, subcontractor's and sub-recipient's custody, rests with such responsible party, subcontractor and sub-recipient. To this end, each responsible party, subcontractor and sub-recipient shall:
   i. put in place an appropriate security plan and maintain the security plan, taking into account the security situation in the country where the project is being carried;
   ii. assume all risks and liabilities related to such responsible party's, subcontractor's and sub-recipient's security, and the full implementation of the security plan.

b. UNDP reserves the right to verify whether such a plan is in place, and to suggest modifications to the plan when necessary. Failure to maintain and implement an appropriate security plan as required hereunder shall be deemed a breach of the responsible party's, subcontractor's and sub-recipient's obligations under this Project Document.

c. Each responsible party, subcontractor and sub-recipient (each a "sub-party" and together "sub-parties") acknowledges and agrees that UNDP will not tolerate sexual harassment and sexual exploitation and abuse of anyone by the sub-parties, and other entities involved in Project implementation, either as contractors or subcontractors and their personnel, and any individuals performing services for them under the Project Document.

   (a) In the implementation of the activities under this Project Document, each sub-party shall comply with the standards of conduct set forth in the Secretary General's Bulletin ST/SGB/2003/13 of 9 October 2003, concerning "Special measures for protection from sexual exploitation and sexual abuse" ("SEA").

   (b) Moreover, and without limitation to the application of other regulations, rules, policies and procedures bearing upon the performance of the activities under this Project Document, in the implementation of activities, each sub-party, shall not engage in any form of sexual harassment ("SH"). SH is defined as any unwelcome conduct of a sexual nature that might reasonably be expected or be perceived to cause offense or humiliation, when such conduct interferes with work, is made a condition of employment or creates an intimidating, hostile or offensive work environment. SH may occur in the workplace or in connection with work. While typically involving a pattern of conduct, SH may take the form of a single incident. In assessing

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the reasonableness of expectations or perceptions, the perspective of the person who is the target of the conduct shall be considered.

d. In the performance of the activities under this Project Document, each sub-party shall (with respect to its own activities), and shall require from its sub-parties (with respect to their activities) that they, have minimum standards and procedures in place, or a plan to develop and/or improve such standards and procedures in order to be able to take effective preventive and investigative action. These should include: policies on sexual harassment and sexual exploitation and abuse; policies on whistleblowing/protection against retaliation; and complaints, disciplinary and investigative mechanisms. In line with this, sub-parties will and will require that their respective sub-parties will take all appropriate measures to:

   (i) Prevent its employees, agents or any other persons engaged to perform any services under this Project Document, from engaging in SH or SEA;

   (ii) Offer employees and associated personnel training on prevention and response to SH and SEA, where sub-parties have not put in place its own training regarding the prevention of SH and SEA, sub-parties may use the training material available at UNDP;

   (iii) Report and monitor allegations of SH and SEA of which any of the sub-parties have been informed or have otherwise become aware, and status thereof;

   (iv) Refer victims/survivors of SH and SEA to safe and confidential victim assistance; and

   (v) Promptly and confidentially record and investigate any allegations credible enough to warrant an investigation of SH or SEA. Each sub-party shall advise UNDP of any such allegations received and investigations being conducted by itself or any of its sub-parties with respect to their activities under the Project Document, and shall keep UNDP informed during the investigation by it or any of such sub-parties, to the extent that such notification (i) does not jeopardize the conduct of the investigation, including but not limited to the safety or security of persons, and/or (ii) is not in contravention of any laws applicable to it. Following the investigation, the relevant sub-party shall advise UNDP of any actions taken by it or any of the other entities further to the investigation.

e. Each sub-party shall establish that it has complied with the foregoing, to the satisfaction of UNDP, when requested by UNDP or any party acting on its behalf to provide such confirmation. Failure of the relevant sub-party to comply of the foregoing, as determined by UNDP, shall be considered grounds for suspension or termination of the Project.

f. Each responsible party, subcontractor and sub-recipient will ensure that any project activities undertaken by them will be implemented in a manner consistent with the UNDP Social and Environmental Standards and shall ensure that any incidents or issues of non-compliance shall be reported to UNDP in accordance with UNDP Social and Environmental Standards.

g. Each responsible party, subcontractor and sub-recipient will take appropriate steps to prevent misuse of funds, fraud, corruption or other financial irregularities, by its officials, consultants, subcontractors and sub-recipients in implementing the project or programme or using the UNDP funds. It will ensure that its financial management, anti-corruption, anti-fraud and anti money laundering and countering the financing of terrorism policies are in place and enforced for all funding received from or through UNDP.

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h. The requirements of the following documents, then in force at the time of signature of the Project Document, apply to each responsible party, subcontractor and sub-recipient: (a) UNDP Policy on Fraud and other Corrupt Practices (b) UNDP Anti-Money Laundering and Countering the Financing of Terrorism Policy; and (c) UNDP Office of Audit and Investigations Investigation Guidelines. Each responsible party, subcontractor and sub-recipient agrees to the requirements of the above documents, which are an integral part of this Project Document and are available online at www.undp.org.

i. In the event that an investigation is required, UNDP will conduct investigations relating to any aspect of UNDP programmes and projects. Each responsible party, subcontractor and sub-recipient will provide its full cooperation, including making available personnel, relevant documentation, and granting access to its (and its consultants', subcontractors' and sub-recipients') premises, for such purposes at reasonable times and on reasonable conditions as may be required for the purpose of an investigation. Should there be a limitation in meeting this obligation, UNDP shall consult with it to find a solution.

j. Each responsible party, subcontractor and sub-recipient will promptly inform UNDP as the Implementing Partner in case of any incidence of inappropriate use of funds, or credible allegation of fraud, corruption other financial irregularities with due confidentiality.

   Where it becomes aware that a UNDP project or activity, in whole or in part, is the focus of investigation for alleged fraud/corruption, each responsible party, subcontractor and sub-recipient will inform the UNDP Resident Representative/Head of Office, who will promptly inform UNDP's Office of Audit and Investigations (OAI). It will provide regular updates to the head of UNDP in the country and OAI of the status of, and actions relating to, such investigation.

k. Choose one of the three following options:

   Option 1: UNDP will be entitled to a refund from the responsible party, subcontractor or sub-recipient of any funds provided that have been used inappropriately, including through fraud corruption, other financial irregularities or otherwise paid other than in accordance with the terms and conditions of this Project Document. Such amount may be deducted by UNDP from any payment due to the responsible party, subcontractor or sub-recipient under this or any other agreement. Recovery of such amount by UNDP shall not diminish or curtail any responsible party's, subcontractor's or sub-recipient's obligations under this Project Document.

   Where such funds have not been refunded to UNDP, the responsible party, subcontractor or sub-recipient agrees that donors to UNDP (including the Government) whose funding is the source, in whole or in part, of the funds for the activities under this Project Document, may seek recourse to such responsible party, subcontractor or sub-recipient for the recovery of any funds determined by UNDP to have been used inappropriately, including through fraud, corruption or other financial irregularities, or otherwise paid other than in accordance with the terms and conditions of the Project Document.

   Note: The term "Project Document" as used in this clause shall be deemed to include any relevant subsidiary agreement further to the Project Document, including those with responsible parties, subcontractors and sub-recipients.

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l. Each contract issued by the responsible party, subcontractor or sub-recipient in connection with this Project Document shall include a provision representing that no fees, gratuities, rebates, gifts, commissions or other payments, other than those shown in the proposal, have been given, received, or promised in connection with the selection process or in contract execution, and that the recipient of funds from it shall cooperate with any and all investigations and post-payment audits.

m. Should UNDP refer to the relevant national authorities for appropriate legal action any alleged wrongdoing relating to the project or programme, the Government will ensure that the relevant national authorities shall actively investigate the same and take appropriate legal action against all individuals found to have participated in the wrongdoing, recover and return any recovered funds to UNDP.

n. Each responsible party, subcontractor and sub-recipient shall ensure that all of its obligations set forth under this section entitled "Risk Management" are passed on to its subcontractors and sub-recipients and that all the clauses under this section entitled "Risk Management Standard Clauses" are adequately reflected, *mutatis mutandis*, in all its sub-contracts or sub-agreements entered into further to this Project Document.

Special Clauses. In case of government cost-sharing through the project, the following clauses should be included:

1. The schedule of payments and UNDP bank account details.

Sl. No.	Items	Percentage of Payments	Total Budget (USD)
1	Mobilization Advance	20	265,072
2	On submission of 80% UC of Previous advance	30	397,607
3	On submission of 80% UC of Previous advance	25	331,340
4	On submission of 80% UC of Previous advance	25	331,340
	Total	100	1,325,359

Account Name	UNDP Representative Rupee A/c
Bank Name/Address	Bank of America
	DLF Centre, Sansad Marg, New Delhi-110001 – India
Bank A/c No:	21100019
RTGS/IFSC Code:	BOFA0ND6216
Swift Code:	BOFAIN4XDEL

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2. The value of the payment, if made in a currency other than United States dollars, shall be determined by applying the United Nations operational rate of exchange in effect on the date of payment. Should there be a change in the United Nations operational rate of exchange prior to the full utilization by the UNDP of the payment, the value of the balance of funds still held at that time will be adjusted accordingly. If, in such a case, a loss in the value of the balance of funds is recorded, UNDP shall inform the Government with a view to determining whether any further financing could be provided by the Government. Should such further financing not be available, the assistance to be provided to the project may be reduced, suspended or terminated by UNDP.

3. The above schedule of payments takes into account the requirement that the payments shall be made in advance of the implementation of planned activities. It may be amended to be consistent with the progress of project delivery.

4. UNDP shall receive and administer the payment in accordance with the regulations, rules and directives of UNDP.

5. All financial accounts and statements shall be expressed in United States dollars.

6. If unforeseen increases in expenditures or commitments are expected or realized (whether owing to inflationary factors, fluctuation in exchange rates or unforeseen contingencies), UNDP shall submit to the government on a timely basis a supplementary estimate showing the further financing that will be necessary. The Government shall use its best endeavours to obtain the additional funds required.

7. If the payments referred above are not received in accordance with the payment schedule, or if the additional financing required in accordance with paragraph 6 above is not forthcoming from the Government or other sources, the assistance to be provided to the project under this Agreement may be reduced, suspended or terminated by UNDP.

8. Any interest income attributable to the contribution shall be credited to UNDP Account and shall be utilized in accordance with established UNDP procedures.

In accordance with the decisions and directives of UNDP's Executive Board:

The contribution shall be charged:

(a) [8%] cost recovery for the provision of general management support (GMS) by UNDP headquarters and country offices

(b) Direct cost for implementation support services (ISS) provided by UNDP and/or an executing entity/implementing partner.

9. Ownership of equipment, supplies and other properties financed from the contribution shall vest in UNDP. Matters relating to the transfer of ownership by UNDP shall be determined in accordance with the relevant policies and procedures of UNDP.

10. The contribution shall be subject exclusively to the internal and external auditing procedures provided for in the financial regulations, rules and directives of UNDP."

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XI. ANNEXES

1. **Project Quality Assurance Report**

2. **Social and Environmental Screening Template** [English] [French] [Spanish], including additional Social and Environmental Assessments or Management Plans as relevant. *(NOTE: The SES Screening is not required for projects in which UNDP is Administrative Agent only and/or projects comprised solely of reports, coordination of events, trainings, workshops, meetings, conferences, preparation of communication materials, strengthening capacities of partners to participate in international negotiations and conferences, partnership coordination and management of networks, or global/regional projects with no country level activities).*

3. **Risk Analysis**. Use the standard Risk Register template. Please refer to the Deliverable Description of the Risk Register for instructions

4. **Capacity Assessment:** Results of capacity assessments of Implementing Partner (including Partner Capacity Assessment Tool (PCAT) and HACT Micro Assessment). UNDP follows Harmonized Approach to Cash Transfer approach for partnering with/ and transfer of funds to project responsible parties. HACT changed the management of cash transfers from a system of rigid controls to a risk management approach aimed at reducing transaction costs, simplifying and harmonizing rules and procedures, while strengthening the capacity of implementing partners to effectively manage resources. Under this approach, UNDP undertakes capacity assessments of such partners and ensures spot checks, internal control audits and financial audits of such partners as required.

5.

6. **Project Board Terms of Reference and TORs of key management positions.** The standard Project Board TOR can be found here**.**

7. **On-Granting Provisions Applicable to the Implementing Partner[12].** On-granting clauses for non-UNDP Implementing Partners can be found here.

[12] Applicable for non-UNDP Implementing Partner as Grant Making Institution facilitating on-granting.